

# Euler's partition theorem and the combinatorics of $\ell$ -sequences

Carla D. Savage

North Carolina State University

June 26, 2008

## Inspiration

- BME1** Mireille Bousquet-Mélou, and Kimmo Eriksson, *Lecture hall partitions*,  
Ramanujan. J., 1:1, 1997, 101-111.
- BME2** Mireille Bousquet-Mélou, and Kimmo Eriksson, *Lecture hall partitions II*,  
Ramanujan. J., 1:2, 1997, 165-185.

## Reference

- SY07** C. D. S. and Ae Ja Yee, *Euler's partition theorem and the combinatorics of  $\ell$ -sequences*,  
JCTA, 2007, to appear, available online.
- LS08** Nicholas Loehr, and C. D. S. *Notes on  $\ell$ -nomials*, in preparation.

## Overview

Euler's partition theorem

## Overview

1, 2, 3, ...  
 $\ell$ -sequences

Euler's partition theorem

## Overview

1, 2, 3, ...  
 $\ell$ -sequences

Euler's partition theorem

The  $\ell$ -Euler theorem

## Overview

1, 2, 3, ...

$\ell$ -sequences

Euler's partition theorem

The  $\ell$ -Euler theorem

Lecture hall partitions

$\ell$ -Lecture hall partitions

## Overview

1, 2, 3, ...

$\ell$ -sequences

Euler's partition theorem

The  $\ell$ -Euler theorem

Lecture hall partitions

$\ell$ -Lecture hall partitions

Binomial coefficients

$\ell$ -nomial coefficients

## Euler's Partition Theorem:

The number of partitions of an integer  $N$  into **odd** parts is equal to the number of partitions of  $N$  into **distinct** parts.

Example:  $N = 8$

Odd parts:

(7,1) (5,3) (5,1,1,1) (3,3,1,1) (3,1,1,1,1,1) (1,1,1,1,1,1,1,1)

Distinct Parts:

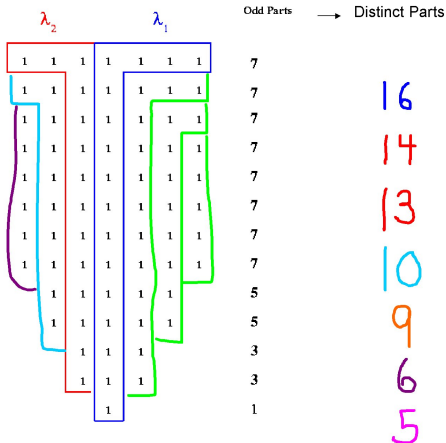
(8) (7,1) (6,2) (5,3) (5,2,1) (4,3,1)



## Sylvester's Bijection

$\lambda_2$	$\lambda_1$	Odd Parts
1 1 1 1 1 1 1		7
1 1 1 1 1 1 1		7
1 1 1 1 1 1 1		7
1 1 1 1 1 1 1		7
1 1 1 1 1 1 1		7
1 1 1 1 1 1 1		7
1 1 1 1 1 1 1		7
1 1 1 1 1 1		5
1 1 1 1 1		5
1 1 1		3
1 1 1		3
1		1

# Sylvester's Bijection



## $\ell$ -sequences

For integer  $\ell \geq 2$ , define the sequence  $\{a_n^{(\ell)}\}_{n \geq 1}$  by

$$a_n^{(\ell)} = \ell a_{n-1}^{(\ell)} - a_{n-2}^{(\ell)},$$

with initial conditions  $a_1^{(\ell)} = 1$ ,  $a_2^{(\ell)} = \ell$ .

## $\ell$ -sequences

For integer  $\ell \geq 2$ , define the sequence  $\{a_n^{(\ell)}\}_{n \geq 1}$  by

$$a_n^{(\ell)} = \ell a_{n-1}^{(\ell)} - a_{n-2}^{(\ell)},$$

with initial conditions  $a_1^{(\ell)} = 1$ ,  $a_2^{(\ell)} = \ell$ .

$$\{a_n^{(3)}\} = 1, 3, 8, 21, 55, 144, 377, \dots$$

$$\{a_n^{(2)}\} = 1, 2, 3, 4, 5, 6, 7, \dots$$

## $\ell$ -sequences

For integer  $\ell \geq 2$ , define the sequence  $\{a_n^{(\ell)}\}_{n \geq 1}$  by

$$a_n^{(\ell)} = \ell a_{n-1}^{(\ell)} - a_{n-2}^{(\ell)},$$

with initial conditions  $a_1^{(\ell)} = 1$ ,  $a_2^{(\ell)} = \ell$ .

$$\{a_n^{(3)}\} = 1, 3, 8, 21, 55, 144, 377, \dots$$

$$\{a_n^{(2)}\} = 1, 2, 3, 4, 5, 6, 7, \dots$$

(These are the  $(k, l)$  sequences in [BME2] with  $k = l = \ell$ .)

$$\ell \geq 2$$

**The  $\ell$ -Euler theorem** [BME2]: The number of partitions of an integer  $N$  into parts from the set

$$\{a_0^{(\ell)} + a_1^{(\ell)}, a_1^{(\ell)} + a_2^{(\ell)}, a_2^{(\ell)} + a_3^{(\ell)}, \dots\}$$

is the same as the number of partitions of  $N$  in which the ratio of consecutive parts is greater than

$$c_\ell = \frac{\ell + \sqrt{\ell^2 - 4}}{2}$$

Proof: via *lecture hall partitions*.

$$\ell = 2$$

**The  $\ell$ -Euler theorem** [BME2]: The number of partitions of an integer  $N$  into parts from the set

$$\{0 + 1, 1 + 2, 2 + 3, \dots\} = \{1, 3, 5, \dots\}$$

is the same as the number of partitions of  $N$  in which the ratio of consecutive parts is greater than

$$c_2 = \frac{2 + \sqrt{2^2 - 4}}{2} = 1$$

$$\ell = 3$$

**The  $\ell$ -Euler theorem** [BME2]: The number of partitions of an integer  $N$  into parts from the set

$$\{0 + 1, 1 + 3, 3 + 8, \dots\} = \{1, 4, 11, 29, \dots\}$$

is the same as the number of partitions of  $N$  in which the ratio of consecutive parts is greater than

$$c_3 = \frac{3 + \sqrt{3^2 - 4}}{2} = (3 + \sqrt{5})/2$$



$$\ell = 3$$

**The  $\ell$ -Euler theorem** [BME2]: The number of partitions of an integer  $N$  into parts from the set

$$\{0 + 1, 1 + 3, 3 + 8, \dots\} = \{1, 4, 11, 29, \dots\}$$

is the same as the number of partitions of  $N$  in which the ratio of consecutive parts is greater than

$$c_3 = \frac{3 + \sqrt{3^2 - 4}}{2} = (3 + \sqrt{5})/2$$

Stanley: bijection?

## $\Theta^{(\ell)}$ : Bijection for the $\ell$ -Euler Theorem [SY07]

Given a partition  $\mu$  into parts in

$$\{a_0 + a_1, a_1 + a_2, a_2 + a_3, \dots\}$$

construct  $\lambda = (\lambda_1, \lambda_2, \dots)$  by inserting the parts of  $\mu$  in nonincreasing order as follows:

---

To insert  $a_{k-1} + a_k$  into  $(\lambda_1, \lambda_2, \dots)$ :

If  $k = 1$ , then add  $a_1$  to  $\lambda_1$ ;

otherwise, if  $(\lambda_1 + a_k - a_{k-1}) > c_\ell(\lambda_2 + a_{k-1} - a_{k-2})$ ,

add  $a_k - a_{k-1}$  to  $\lambda_1$ , add  $a_{k-1} - a_{k-2}$  to  $\lambda_2$ ;

recursively insert  $a_{k-2} + a_{k-1}$  into  $(\lambda_3, \lambda_4, \dots)$

otherwise,

add  $a_k$  to  $\lambda_1$ , and add  $a_{k-1}$  to  $\lambda_2$ .

## The insertion step

To insert  $a_k + a_{k-1}$  into  $(\lambda_1, \lambda_2, \lambda_3, \lambda_4, \dots)$  either do

(i)  $(\lambda_1 + a_k, \lambda_2 + a_{k-1}, \lambda_3, \lambda_4, \dots)$

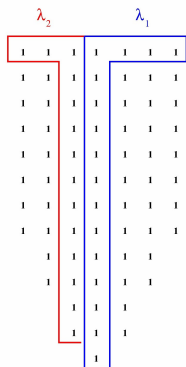
or

(ii)  $(\lambda_1 + (a_k - a_{k-1}), \lambda_2 + (a_{k-1} - a_{k-2}),$   
insert  $(a_{k-1} + a_{k-2})$  into  $(\lambda_3, \lambda_4, \dots))$

## How to decide?

Do (ii) if the ratio of first two parts is okay, otherwise do (i).

# Sylvester Diagrams



Test (\*)

F

P

P

P

P

P

P

P

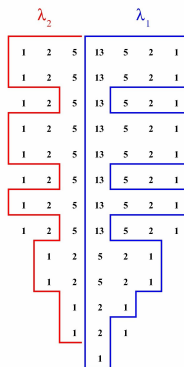
P

P

P

P

P



Test (\*)

F

F

P

F

F

P

F

P

F

F

F

P

## Interpretation of $a_n^{(\ell)}$

Define  $T_n^{(\ell)}$ : set of  $\ell$ -ary strings of length  $n$  that do not contain

$$(\ell - 1)(\ell - 2)^*(\ell - 1)$$

$$T_1^{(3)} = \{0, 1, 2\} \qquad |T_1^{(3)}| = 3$$

$$T_2^{(3)} = \{00, 01, 02, 11, 10, 12, 20, 21\} \qquad |T_2^{(3)}| = 8$$

$$T_3^{(3)}: \text{all 27 except } 220, 221, 222, 022, 122, \text{ and } 212.$$

$$|T_3^{(3)}| = 27 - 6 = 21$$

$$\text{Theorem } |\mathbf{T}_n^{(\ell)}| = \mathbf{a}_{n+1}^{(\ell)}.$$

## Binary numeration system

$$1\ 0\ 1\ 1\ 0 \rightarrow 1 * 2^4 + 0 * 2^3 + 1 * 2^2 + 1 * 2^1 + 0 * 2^0 = 22$$

(unique representation)

## Ternary numeration system

$$1\ 0\ 2\ 1\ 1 \rightarrow 1 * 3^4 + 0 * 3^3 + 2 * 3^2 + 1 * 3^1 + 1 * 3^0 = 138$$

(unique representation)

## Binary numeration system

$$1\ 0\ 1\ 1\ 0 \rightarrow 1 * 2^4 + 0 * 2^3 + 1 * 2^2 + 1 * 2^1 + 0 * 2^0 = 22$$

(unique representation)

## Ternary numeration system

$$1\ 0\ 2\ 1\ 1 \rightarrow 1 * 3^4 + 0 * 3^3 + 2 * 3^2 + 1 * 3^1 + 1 * 3^0 = 138$$

(unique representation)

## A Fraenkel numeration system: ternary, but ...

$$1\ 0\ 2\ 1\ 1 \rightarrow 1 * 55 + 0 * 21 + 2 * 8 + 1 * 3 + 1 * 1 = 75$$

## Binary numeration system

$$1\ 0\ 1\ 1\ 0 \rightarrow 1 * 2^4 + 0 * 2^3 + 1 * 2^2 + 1 * 2^1 + 0 * 2^0 = 22$$

(unique representation)

## Ternary numeration system

$$1\ 0\ 2\ 1\ 1 \rightarrow 1 * 3^4 + 0 * 3^3 + 2 * 3^2 + 1 * 3^1 + 1 * 3^0 = 138$$

(unique representation)

## A Fraenkel numeration system: ternary, but ...

$$1\ 0\ 2\ 1\ 1 \rightarrow 1 * 55 + 0 * 21 + 2 * 8 + 1 * 3 + 1 * 1 = 75$$

$$0\ 0\ 2\ 1\ 2 \rightarrow 0 * 55 + 0 * 21 + 2 * 8 + 1 * 3 + 2 * 1 = 21$$

$$0\ 1\ 0\ 0\ 0 \rightarrow 0 * 55 + 1 * 21 + 0 * 8 + 0 * 3 + 0 * 1 = 21$$



## Binary numeration system

$$1\ 0\ 1\ 1\ 0 \rightarrow 1 * 2^4 + 0 * 2^3 + 1 * 2^2 + 1 * 2^1 + 0 * 2^0 = 22$$

(unique representation)

## Ternary numeration system

$$1\ 0\ 2\ 1\ 1 \rightarrow 1 * 3^4 + 0 * 3^3 + 2 * 3^2 + 1 * 3^1 + 1 * 3^0 = 138$$

(unique representation)

## A Fraenkel numeration system: ternary, but ...

$$1\ 0\ 2\ 1\ 1 \rightarrow 1 * 55 + 0 * 21 + 2 * 8 + 1 * 3 + 1 * 1 = 75$$

$$0\ 0\ 2\ 1\ 2 \rightarrow 0 * 55 + 0 * 21 + 2 * 8 + 1 * 3 + 2 * 1 = 21$$

$$0\ 1\ 0\ 0\ 0 \rightarrow 0 * 55 + 1 * 21 + 0 * 8 + 0 * 3 + 0 * 1 = 21$$

but unique representation by ternary strings with no  $21^*2$

**Theorem** [Fraenkel 1985] Every nonnegative integer has a unique (up to leading zeroes) representation as an  $\ell$ -ary string which does not contain the pattern

$$(\ell - 1) (\ell - 2)^* (\ell - 1).$$

**Proof** Show that

$$f : b_n b_{n-1} \dots b_1 \longrightarrow \sum_{i=1}^n b_i a_i$$

is a bijection

$$f : T_n^{(\ell)} \longrightarrow \{0, 1, 2, \dots, a_{n+1}^{(\ell)} - 1\}.$$

The  $\ell$ -representation of an integer  $x$  is

$$[x] = f^{-1}(x).$$

$\lambda$	377	144	55	21	8	3	1					lex order
754	2	0	0	0	0	0	0					2 0 0 0 0 0 0
273		1	2	0	2	1	0					1 2 0 2 1 0 0
102			1	2	0	1	2					1 2 0 1 2 0 0
38				1	2	0	1					1 2 0 1 0 0 0
14					1	2	0					1 2 0 0 0 0 0
5						1	2					1 2 0 0 0 0 0
1							1					1 0 0 0 0 0 0

$\lambda$	377	144	55	21	8	3	1	lex order							
754	2	0	0	0	0	0	0	2	0	0	0	0	0	0	0
273		1	2	0	2	1	0	1	2	0	2	1	0	0	
102			1	2	0	1	2	1	2	0	1	2	0	0	
38				1	2	0	1	1	2	0	1	0	0	0	
14					1	2	0	1	2	0	0	0	0	0	
5						1	2	1	2	0	0	0	0	0	
1							1	1	2	0	0	0	0	0	

**Theorem [SY07]:** For positive integers  $x, y$ ,

$$x > c_\ell y \text{ iff } [x] \succeq [y] \cdot 0$$

$$c_3 = (3 + \sqrt{5})/2 \approx 2.618$$

$\lambda$	377	144	55	21	8	3	1	lex order							
754	2	0	0	0	0	0	0	2	0	0	0	0	0	0	0
273		1	2	0	2	1	0	1	2	0	2	1	0	0	
102			1	2	0	1	2	1	2	0	1	2	0	0	
38				1	2	0	1	1	2	0	1	0	0	0	
14					1	2	0	1	2	0	0	0	0	0	
5						1	2	1	2	0	0	0	0	0	
1							1	1	2	0	0	0	0	0	

$$x > c_\ell y \text{ iff } [x] \succeq [y] \cdot 0$$

## Can revise bijection

To insert  $a_k + a_{k+1}$  into  $(\lambda_1, \lambda_2, \lambda_3, \lambda_4, \dots)$  either do

(i)  $(\lambda_1 + a_k, \lambda_2 + a_{k-1}, \lambda_3, \lambda_4, \dots)$

or

(ii)  $(\lambda_1 + (a_k - a_{k-1}), \lambda_2 + (a_{k-1} - a_{k-2}),$   
insert  $(a_{k-1} + a_{k-2})$  into  $(\lambda_3, \lambda_4, \dots)$ )

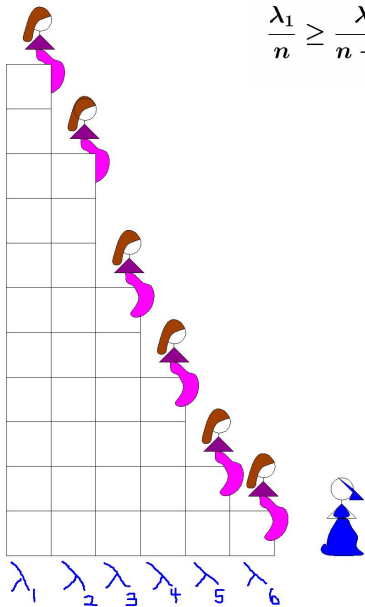
## How to decide?

Do (i) if it does not create a carry in Fraenkel arithmetic;  
otherwise do (ii).

So, insertion becomes a 2-d form of Fraenkel arithmetic.

# Lecture Hall Partitions

$$\frac{\lambda_1}{n} \geq \frac{\lambda_2}{n-1} \geq \dots \geq \frac{\lambda_n}{1} \geq 0$$



**The Lecture Hall Theorem** [BME1] The generating function for integer sequences  $\lambda_1, \lambda_2, \dots, \lambda_n$  satisfying:

$$L_n : \quad \frac{\lambda_1}{n} \geq \frac{\lambda_2}{n-1} \geq \dots \geq \frac{\lambda_n}{1} \geq 0$$

is

$$L_n(q) = \prod_{i=1}^n \frac{1}{1 - q^{2i-1}}$$



**The Lecture Hall Theorem** [BME1] The generating function for integer sequences  $\lambda_1, \lambda_2, \dots, \lambda_n$  satisfying:

$$L_n : \quad \frac{\lambda_1}{n} \geq \frac{\lambda_2}{n-1} \geq \dots \geq \frac{\lambda_n}{1} \geq 0$$

is

$$L_n(q) = \prod_{i=1}^n \frac{1}{1 - q^{2i-1}}$$

$\lim_{n \rightarrow \infty} (\text{Lecture Hall Theorem}) = \text{Euler's Theorem}$  since

$$\frac{\lambda_1}{n} \geq \frac{\lambda_2}{n-1} \geq \dots \geq \frac{\lambda_n}{1} \geq 0 \longrightarrow \text{partitions into distinct parts}$$

$$\prod_{i=1}^n \frac{1}{1 - q^{2i-1}} \longrightarrow \text{partitions into odd parts}$$

Let  $\{a_n\} = \{a_n^{(\ell)}\}$ .

**The  $\ell$ -Lecture Hall Theorem** [BME2]: The generating function for integer sequences  $\lambda_1, \lambda_2, \dots, \lambda_n$  satisfying:

$$L_n^{(\ell)} : \frac{\lambda_1}{a_n} \geq \frac{\lambda_2}{a_{n-1}} \geq \dots \geq \frac{\lambda_{n-1}}{a_2} \geq \frac{\lambda_n}{a_1} \geq 0$$

is

$$L_n^{(\ell)}(q) = \prod_{i=1}^n \frac{1}{(1 - q^{a_{i-1} + a_i})}$$

$\lim_{n \rightarrow \infty} (\ell\text{-Lecture Hall Theorem}) = \ell\text{-Euler Theorem}$

since as  $n \rightarrow \infty$ ,  $a_n/a_{n-1} \rightarrow c_\ell$

## $\Theta_n^{(\ell)}$ : Bijection for the $\ell$ -Lecture Hall Theorem

Given a partition  $\mu$  into parts in

$$\{a_0 + a_1, a_1 + a_2, a_2 + a_3, \dots, a_{n-1} + a_n\}$$

construct  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_n)$  by inserting the parts of  $\mu$  in nonincreasing order as follows:

---

To insert  $a_{k-1} + a_k$  into  $(\lambda_1, \lambda_2, \dots, \lambda_n)$ :

If  $k = 1$ , then add  $a_1$  to  $\lambda_1$ ;

otherwise, if  $(\lambda_1 + a_k - a_{k-1}) \geq (a_n/a_{n-1})(\lambda_2 + a_{k-1} - a_{k-2})$ ,

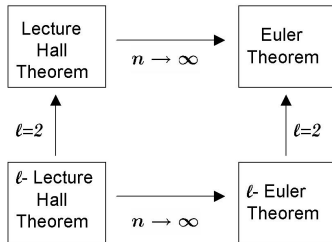
add  $a_k - a_{k-1}$  to  $\lambda_1$ , add  $a_{k-1} - a_{k-2}$  to  $\lambda_2$ ;

recursively insert  $a_{k-2} + a_{k-1}$  into  $(\lambda_3, \lambda_4, \dots, \lambda_n)$

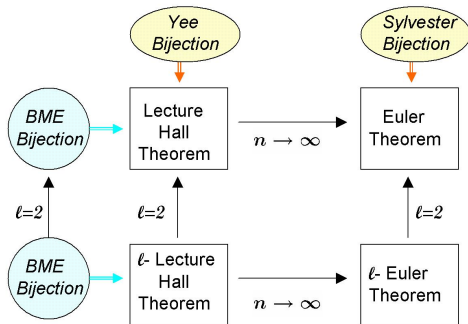
otherwise,

add  $a_k$  to  $\lambda_1$ , and add  $a_{k-1}$  to  $\lambda_2$ .

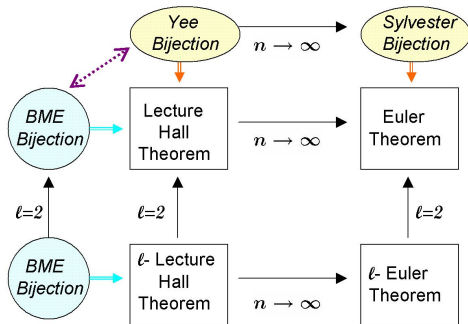
## Relationship between bijections



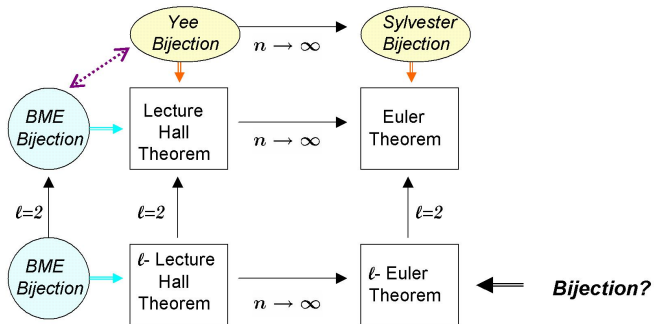
## Bijections



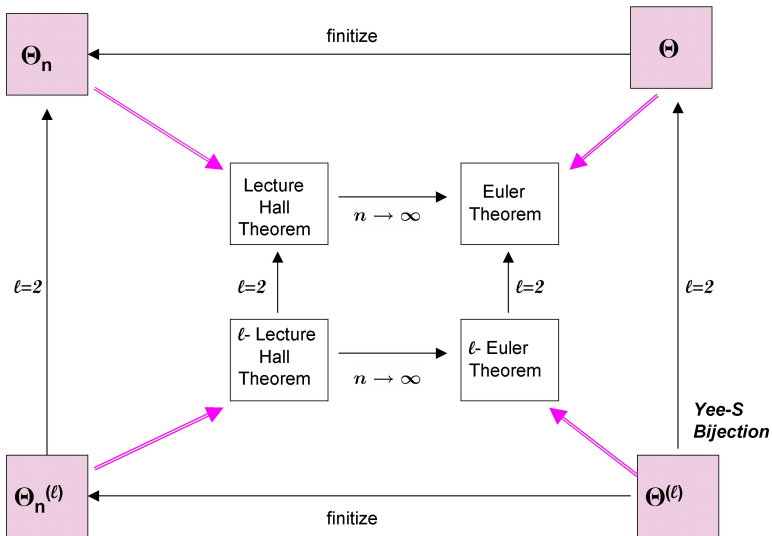
## Bijections

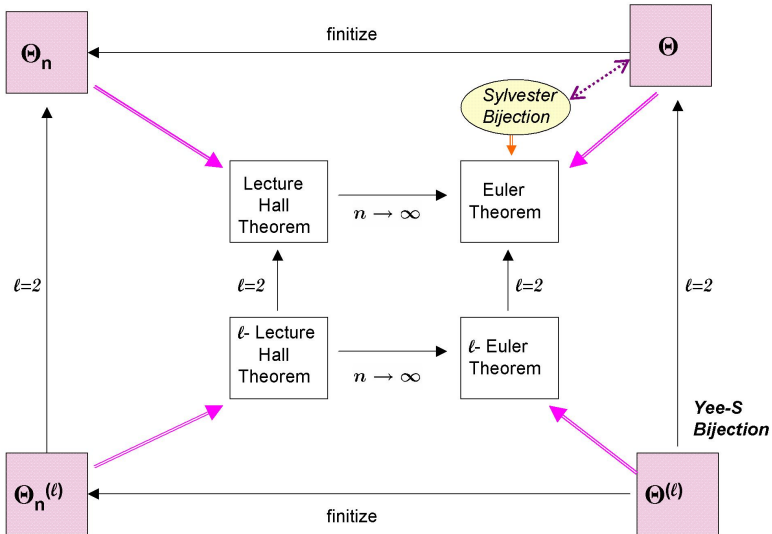


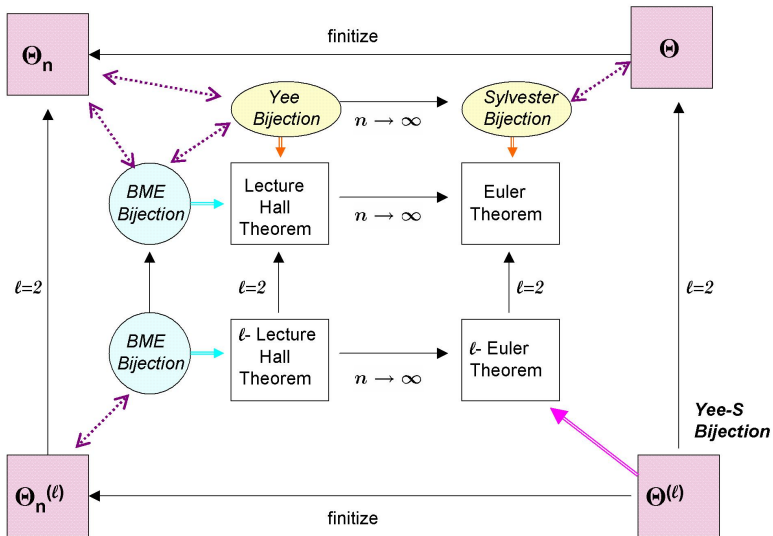
## Bijections











## Truncated lecture hall partitions

$$L_{n,k}^{(\ell)} : \quad \frac{\lambda_1}{n} \geq \frac{\lambda_2}{n-1} \geq \cdots \geq \frac{\lambda_{k-1}}{n-k+2} \geq \frac{\lambda_k}{n-k+1} > 0$$

**Theorem:** [Corteel, S 2004]

$$L_{n,k}^{(\ell)}(q) = q^{\binom{k+1}{2}} \left[ \begin{matrix} n \\ k \end{matrix} \right]_q \frac{(-q^{n-k+1}; q)_k}{(q^{2n-k+1}; q)_k},$$

where  $(a; q)_n = (1-a)(1-aq) \cdots (1-aq^{n-1})$

Analog for  $\ell$ -lecture hall partitions?

## Truncated lecture hall partitions

$$L_{n,k,j}^{(\ell)} : j \geq \frac{\lambda_1}{n} \geq \frac{\lambda_2}{n-1} \geq \cdots \geq \frac{\lambda_{k-1}}{n-k+2} \geq \frac{\lambda_k}{n-k+1} > 0$$

**Theorem:** [Corteel,S 2004]

$$L_{n,k}^{(\ell)}(q) = q^{\binom{k+1}{2}} \left[ \begin{matrix} n \\ k \end{matrix} \right]_q \frac{(-q^{n-k+1}; q)_k}{(q^{2n-k+1}; q)_k},$$

where  $(a; q)_n = (1-a)(1-aq) \cdots (1-aq^{n-1})$

**Theorem** [Corteel, Lee, S 2005]

$$L_{n,k,j}^{(\ell)}(1) = j^k \binom{n}{k}$$

Analog for  $\ell$ -lecture hall partitions?

**Theorem** [Corteel,S 2004] Given positive integers  $s_1, \dots, s_n$ , the generating function for the sequences  $\lambda_1, \dots, \lambda_n$  satisfying

$$\frac{\lambda_1}{s_1} \geq \frac{\lambda_2}{s_2} \geq \dots \geq \frac{\lambda_{n-1}}{s_{n-1}} \geq \frac{\lambda_n}{s_n} \geq 0$$

is

$$\frac{\sum_{z_2=0}^{s_2-1} \sum_{z_3=0}^{s_3-1} \dots \sum_{z_n=0}^{s_n-1} q^{\lceil \frac{s_1 z_2}{s_2} \rceil + \sum_{i=2}^n z_i} \prod_{i=2}^{n-1} q^{b_i \lceil \frac{z_{i+1}}{s_{i+1}} - \frac{z_i}{s_i} \rceil}}{\prod_{i=1}^n (1 - q^{b_i})}$$

where  $b_1 = 1$  and for  $2 \leq i \leq n$ ,  $b_i = s_1 + s_2 + \dots + s_i$ .

**Corollary** As  $q \rightarrow 1$ ,  $(1 - q)^n \times$  this gf  $\rightarrow$

$$\frac{s_2 s_3 \dots s_n}{\prod_{i=1}^n b_i}.$$

Truncated  $\ell$ -lecture hall partitions  $\rightarrow$   $\ell$ -nomials

**Corollary** For  $\ell$ -sequence  $\{a_i\}$ :

$$L_{n+k,k}^{(\ell)} : \quad \frac{\lambda_1}{a_{n+k}} \geq \frac{\lambda_2}{a_{n+k-1}} \geq \frac{\lambda_k}{a_{n+1}} > 0$$

$$L_{n+k,k}^{(\ell)}(q) = ?$$

but

$$\lim_{q \rightarrow 1} ((1-q)^k L_{n+k,k}^{(\ell)}(q)) = \frac{\binom{n}{k}^{(\ell)}}{(p_1 p_2 \cdots p_k)(p_{n+k} p_{n+k-1} \cdots p_{n+1})}$$

where  $p_i = a_i + a_{i-1}$  and  $\binom{n}{k}^{(\ell)}$  is the  $\ell$ -nomial ...

## The $\ell$ -nomial coefficient

$$\binom{n}{k}^{(\ell)} = \frac{a_n^{(\ell)} a_{n-1}^{(\ell)} \cdots a_{n-k+1}^{(\ell)}}{a_k^{(\ell)} a_{k-1}^{(\ell)} \cdots a_1^{(\ell)}}.$$

### Example

$$\binom{9}{4}^{(3)} = \frac{2584 * 987 * 377 * 144}{21 * 8 * 3 * 1} = 174,715,376.$$

**Theorem** [Lucas 1878]  $\binom{n}{k}^{(\ell)}$  is an integer.

like Fibonomials, e.g. Ron Knott's web page:

<http://www.mcs.surrey.ac.uk/Personal/R.Knott/Fibonacci/Fibonomials.html>



“Pascal-like” triangle for the  $\ell$ -nomial coefficient:

				1					
				1		1			
			1		3		1		
		1		8		8		1	
	1		21		56		21		1
	1	55		385		385	55		1
1		144	2640	6930	2640	144			1

## Theorem

$$\binom{n}{k}^{(\ell)} = (a_{k+1}^{(\ell)} - a_k^{(\ell)}) \binom{n-1}{k}^{(\ell)} + (a_{n-k}^{(\ell)} - a_{n-k-1}^{(\ell)}) \binom{n-1}{k-1}^{(\ell)}$$

Let  $u_\ell$  and  $v_\ell$  be the roots of the polynomial  $x^2 - \ell x + 1$ :

$$u_\ell = \frac{\ell + \sqrt{\ell^2 - 4}}{2}; \quad v_\ell = \frac{\ell - \sqrt{\ell^2 - 4}}{2}$$

Then

$$u_\ell + v_\ell = \ell; \quad u_\ell v_\ell = 1.$$

$$a_n^{(\ell)} = \frac{u_\ell^n - v_\ell^n}{u_\ell - v_\ell}.$$

Let  $u_\ell$  and  $v_\ell$  be the roots of the polynomial  $x^2 - \ell x + 1$ :

$$u_\ell = \frac{\ell + \sqrt{\ell^2 - 4}}{2}; \quad v_\ell = \frac{\ell - \sqrt{\ell^2 - 4}}{2}$$

Then

$$u_\ell + v_\ell = \ell; \quad u_\ell v_\ell = 1.$$

$$a_n^{(\ell)} = \frac{u_\ell^n - v_\ell^n}{u_\ell - v_\ell}.$$

For real  $r$ , define  $\Delta_r^{(\ell)} = u_\ell^r + v_\ell^r$ . Then for integer  $n$

$$\Delta_n^{(\ell)} = a_{n+1}^{(\ell)} - a_{n-1}^{(\ell)}.$$

( $\Delta$  is the  $\ell$  analog of “2”.)

Let  $u_\ell$  and  $v_\ell$  be the roots of the polynomial  $x^2 - \ell x + 1$ :

$$u_\ell = \frac{\ell + \sqrt{\ell^2 - 4}}{2}; \quad v_\ell = \frac{\ell - \sqrt{\ell^2 - 4}}{2}$$

Then

$$u_\ell + v_\ell = \ell; \quad u_\ell v_\ell = 1.$$

$$a_n^{(\ell)} = \frac{u_\ell^n - v_\ell^n}{u_\ell - v_\ell}.$$

For real  $r$ , define  $\Delta_r^{(\ell)} = u_\ell^r + v_\ell^r$ . Then for integer  $n$

$$\Delta_n^{(\ell)} = a_{n+1}^{(\ell)} - a_{n-1}^{(\ell)}.$$

( $\Delta$  is the  $\ell$  analog of “2”.)

$$(\Delta_{n/2}^{(\ell)})^2 = u_\ell^n + 2(u_\ell v_\ell)^{n/2} + v_\ell^n = \Delta_n^{(\ell)} + 2.$$

$$\Delta_{-r}^{(\ell)} = u_\ell^{-r} + v_\ell^{-r} = v_\ell^r + u_\ell^r = \Delta_r^{(\ell)}$$

## A 3-term recurrence for the $\ell$ -nomial [LS]

$$\binom{n}{k}^{(\ell)} = \binom{n-2}{k}^{(\ell)} + \Delta_{n-1}^{(\ell)} \binom{n-2}{k-1}^{(\ell)} + \binom{n-2}{k-2}^{(\ell)}$$

**Proof:** Use identities:

$$a_{n+k}^{(\ell)} - a_{n-k}^{(\ell)} = \Delta_n^{(\ell)} a_k^{(\ell)}.$$

$$a_n^{(\ell)} a_{n-1}^{(\ell)} - a_k^{(\ell)} a_{k-1}^{(\ell)} = a_{n-k}^{(\ell)} a_{n+k-1}^{(\ell)}.$$

**An  $\ell$ -nomial theorem** [LS]: An analog of

$$\sum_{k=0}^n \binom{n}{k} z^k = (1+z)^n$$

is

$$\sum_{k=0}^n \binom{n}{k}^{(\ell)} z^k = \prod_{i=0}^{n-1} (u_\ell^{i-(n-1)/2} + v_\ell^{i-(n-1)/2} z) =$$

$$(1 + \Delta_1^{(\ell)} z + z^2)(1 + \Delta_3^{(\ell)} z + z^2) \cdots (1 + \Delta_{n-1}^{(\ell)} z + z^2) \quad n \text{ even}$$

$$(1+z)(1 + \Delta_2^{(\ell)} z + z^2)(1 + \Delta_4^{(\ell)} z + z^2) \cdots (1 + \Delta_{n-1}^{(\ell)} z + z^2) \quad n \text{ odd}$$

## A coin-flipping interpretation of the $\ell$ -nomial

For real  $r$ , let  $C_r^{(\ell)}$  be a weighted coin for which the probability of tails is  $u_\ell^r / \Delta_r^{(\ell)}$  and the probability of heads is  $v_\ell^r / \Delta_r^{(\ell)}$ .

The probability of getting exactly  $k$  heads when tossing the  $n$  coins

$$C_{-(n-1)/2}^{(\ell)}, \quad C_{1-(n-1)/2}^{(\ell)}, \quad C_{2-(n-1)/2}^{(\ell)}, \quad \dots, \quad C_{(n-1)/2}^{(\ell)} :$$

## A coin-flipping interpretation of the $\ell$ -nomial

For real  $r$ , let  $C_r^{(\ell)}$  be a weighted coin for which the probability of tails is  $u_\ell^r / \Delta_r^{(\ell)}$  and the probability of heads is  $v_\ell^r / \Delta_r^{(\ell)}$ .

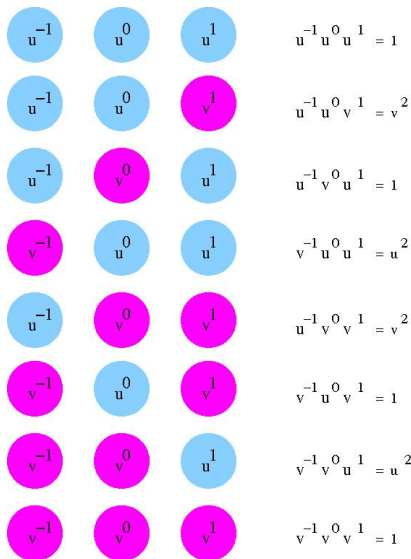
The probability of getting exactly  $k$  heads when tossing the  $n$  coins

$$C_{-(n-1)/2}^{(\ell)}, C_{1-(n-1)/2}^{(\ell)}, C_{2-(n-1)/2}^{(\ell)}, \dots, C_{(n-1)/2}^{(\ell)} :$$

$$\frac{\binom{n}{k}^{(\ell)}}{\Delta_{-(n-1)/2}^{(\ell)} \Delta_{1-(n-1)/2}^{(\ell)} \cdots \Delta_{(n-1)/2-1}^{(\ell)} \Delta_{(n-1)/2}^{(\ell)}}$$

$\Delta_{j/2}^{(\ell)}$  may not be an integer, but  $\Delta_{-j/2}^{(\ell)} \Delta_{j/2}^{(\ell)} = \Delta_j^{(\ell)} + 2$  is.





**Figure:** The 8 possible results of tossing the weighted coins  $C_{-1}^{(\ell)}, C_0^{(\ell)}, C_1^{(\ell)}$ . Heads (pink) are indicated with their “v” weight and tails (blue) with their “u” weight. The weight of each toss is shown.

Define a  $q$ -analog of the  $\ell$ -nomial:

$$a_n^{(\ell)}(q) = \frac{u_\ell^n - v_\ell^n q^n}{u_\ell - v_\ell q}; \quad \Delta_n^{(\ell)}(q) = u_\ell^n + v_\ell^n q^n$$

$$\left[ \begin{matrix} n \\ k \end{matrix} \right]_q^{(\ell)} = \frac{a_n^{(\ell)}(q) a_{n-1}^{(\ell)}(q) \cdots a_{n-k+1}^{(\ell)}(q)}{a_k^{(\ell)}(q) a_{k-1}^{(\ell)}(q) \cdots a_1^{(\ell)}(q)}.$$

Then

$$\left[ \begin{matrix} n \\ k \end{matrix} \right]_q^{(\ell)} = q^k \left[ \begin{matrix} n-2 \\ k \end{matrix} \right]_q^{(\ell)} + \Delta_{n-1}^{(\ell)}(q) \left[ \begin{matrix} n-2 \\ k-1 \end{matrix} \right]_q^{(\ell)} + q^{n-k} \left[ \begin{matrix} n-2 \\ k-2 \end{matrix} \right]_q^{(\ell)}$$

and

$$\sum_{k=0}^n \left[ \begin{matrix} n \\ k \end{matrix} \right]_q^{(\ell)} q^{k(k+1)/2} z^k = \alpha_n(q, z) \prod_{i=1}^{\lfloor n/2 \rfloor} (1 + zq^i \Delta_{n-2i+1}^{(\ell)}(q) + z^2 q^{n+1})$$

where  $\alpha_n(q, z) = 1$  if  $n$  is even;  $(1 + zq^{(n+1)/2})$  if  $n$  is odd.

Proofs can be derived from this partition-theoretic interpretation:

<b>k</b>	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>u</b>	<b>u</b>	<b>u</b>
	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>u</b>	<b>u</b>	<b>u</b>
	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>u</b>	<b>u</b>	<b>u</b>
	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>u</b>	<b>u</b>	<b>u</b>
	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>u</b>	<b>u</b>	<b>u</b>	<b>u</b>
	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>u</b>	<b>u</b>	<b>u</b>	<b>u</b>
	<b>vq</b>	<b>vq</b>	<b>vq</b>	<b>u</b>	<b>u</b>	<b>u</b>	<b>u</b>	<b>u</b>
<b>n-k</b>								

## Another $q$ -analog of the $\ell$ -nomial

Let  $a_n(q) = (1 - q^{a_n})/(1 - q)$ . Then

$$\left[ \begin{matrix} n \\ k \end{matrix} \right]_q^{(\ell)} = \sum_{(\mu, f)} q^{\text{shapeweight}(\mu)} q^{\text{fillweight}(\mu, f)}$$

where the sum is over all pairs  $(\mu, f)$  such  $\mu$  is a partition in  $[k \times (n - k)]$  and  $f$  is a filling  $f(i, j)$  of the cells of  $[k \times (n - k)]$  with elements of  $\{0, 1, \dots, \ell - 1\}$  so that (i) no row of  $\mu$  or column of  $\mu^c$  contains  $(\ell - 1)(\ell - 2)^*(\ell - 1)$  and ... (a bit more)

Indexing cells of  $k \times (n - k)$  bottom to top, left to right:

- ▶ cell  $(i, j)$  has a shape weight  $(a_i - a_{i-1})(a_j - a_{j-1})$
- ▶  $\text{shapeweight}(\mu)$  is sum of shape weights of cells in  $\mu$
- ▶ cell  $(i, j)$  has a fill weight  $a_i a_j$
- ▶  $\text{fillweight}(\mu, f)$  is  $\sum_{i,j} f(i, j) a_i a_j$ .

(Now starting to get something related to lecture hall partitions.)

**Question:** Is there an analytic proof of the  $\ell$ -Euler theorem?

When  $\ell = 2$ , the standard approach is to show the equivalence of the generating functions for the set of partitions into odd parts and the set of partitions into distinct parts:

$$\prod_{k=1}^{\infty} \frac{1}{1 - q^{2k-1}} = \prod_{k=1}^{\infty} (1 + q^k).$$

The sum/product form of Euler's theorem is

$$\prod_{k=1}^{\infty} \frac{1}{1 - q^{2k-1}} = \sum_{k=0}^{\infty} \frac{q^{k(k+1)}}{(q; q)_k}$$

Is there an analog for the  $\ell$ -Euler theorem? What is the sum-side generating function for partitions in which the ratio of consecutive parts is greater than  $c_\ell$ ?

**Question:** When  $\ell = 2$ , several refinements of Euler's theorem follow from Sylvester's bijection. What refinements of the  $\ell$ -Euler theorem can be obtained from the bijection? We have some partial answers, but here's one we can't answer:

Sylvester showed that if, in his bijection, the partition  $\mu$  into odd parts maps to  $\lambda$  (distinct parts), then the number of distinct part sizes occurring in  $\mu$  is the same as the number of maximal chains in  $\lambda$ . (A *chain* is a sequence of consecutive integers.) Is there an analog for  $\ell > 2$ ?

**Question:** Can we enumerate either of these finite sets:

- ▶ The integer sequences  $\lambda_1, \dots, \lambda_n$  in which the ratio of consecutive parts is greater than  $c_\ell$  and  $\lambda_1 < a_{n+1}^{(\ell)}$ ?

We have a combinatorial characterization: these can be viewed as fillings of a staircase of shape  $(n, n-1, \dots, 1)$  such that (i) the filling of each row is an  $\ell$ -ary string with no  $(\ell-1)(\ell-2)^*(\ell-1)$  and (ii) the rows are weakly decreasing, lexicographically. For  $\ell = 2$  the answer is  $2^n$ .

- ▶ The integer sequences  $\lambda_1, \dots, \lambda_n$  satisfying

$$1 \geq \frac{\lambda_1}{a_n} \geq \frac{\lambda_2}{a_{n-1}} \geq \dots \geq \frac{\lambda_{n-1}}{a_2} \geq \frac{\lambda_n}{a_1} \geq 0$$

For  $\ell = 2$  this is the same set as above and the answer is  $2^n$ .

**Question:** What is the generating function for truncated  $\ell$ -lecture hall partitions?

**Question:** What is the right  $q$ -analog of the  $\ell$ -nomial (and the right interpretation) to explain and generalize lecture hall partitions?

(We know what lecture hall partitions look like in the “ $\ell$ -world”. What about ordinary partitions? partitions into distinct parts?)

**Question:** There are several  $q$ -series identities related to Euler's theorem, such as Lebesgue's identity the Roger's-Fine identity Cauchy's identity Are there  $\ell$ -analogues?



Sincere thanks to ...

You, the audience

The FPSAC08 Organizing Committee

The FPSAC08 Program Committee

Luc Lapointe

# CanaDAM 2009

2nd Canadian Discrete and Algorithmic  
Mathematics Conference

May 25 - 28, 2009

CRM, Montreal, Quebec, Canada

<http://www.crm.umontreal.ca/CanadAM2009/index.shtml>

# AMS 2009 Spring Southeastern Section Meeting

## North Carolina State University

Raleigh, NC April 4-5, 2009 (Saturday - Sunday)

Some of the special sessions:

- ▶ Applications of Algebraic and Geometric Combinatorics (Sullivant, Savage)
- ▶ Rings, Algebras, and Varieties in Combinatorics (Hersh, Lenart, Reading)
- ▶ Recent Advances in Symbolic Algebra and Analysis (Singer, Szanto)
- ▶ Kac-Moody Algebras, Vertex Algebras, Quantum Groups, and Applications (Bakalov, Misra, Jing)
- ▶ Enumerative Geometry and Related Topics (Rimayi, Mihalcea)
- ▶ Homotopical Algebra with Applications to Mathematical Physics (Lada, Stasheff)
- ▶ Low Dimensional Topology and Geometry (Dunfield, Etnyre, Ng)